



Board & Officers

SIFMA's Board of Directors manages the business and affairs of the Association. Board members are either the chief executive officer of a SIFMA member firm, or a designee of such chief executive officer. All Board members have the authority to represent the views of their firms and are elected on a staggered basis by the SIFMA membership.

Officers



Chair: Thomas Pluta

Global Head of Linear Rates Trading
JPMorgan Chase & Co.



Chair-Elect: Jim Reynolds

Chairman & Chief Executive Officer
Loop Capital Markets LLC



Vice-Chair: Ken Cella

Principal, Client Strategies Group

Edward Jones



Treasurer: James Wallin

Senior Vice President

AllianceBernstein



Kenneth E. Bentsen, Jr.

President and CEO

SIFMA

2022 Board at Large

- Kevin Bailey, Global Head of Regulatory Affairs, Citi
- Kenneth E. Bentsen, Jr., President and CEO, SIFMA
- Ken Cella, Principal, Client Strategies Group, Edward Jones
- Laura Peters Chepucavage, Managing Director, Head of Global Financing and Futures, BofA Securities
- Michael Durbin, President, Fidelity Institutional
- Matthew K. Enyedi, Managing Director, Business Solutions, LPL Financial
- Megan Flaherty, General Counsel, ABN AMRO Clearing Chicago LLC
- Gary Hall, Partner, Partner – Head of Investment Banking, Siebert Williams Shank & Co.
- Robert Hawley, Chief Executive Officer of CIB Americas, BNP Paribas
- Jeb Hensarling, Executive Vice Chairman, UBS Americas
- Lisa Kidd Hunt, Executive Vice President, International Services, Charles Schwab & Co., Inc.
- Gary Kane, Co-Head of ICG Americas & Head of Securitized Products Sales in the Americas, Deutsche Bank
- Jim Kerr, CEO, D.A. Davidson
- Michael E. Kisber, President, FHN Financial
- Andrew Komaroff, Chief Operating Officer, Neuberger Berman Group LLC
- Ronald J. Kruszewski, Chairman of the Board of Directors and CEO, Stifel Financial Corp.
- Charlotte McLaughlin, President & CEO, PNC Capital Markets

- Thomas C. Merchant, Deputy General Counsel/Head of Global Compliance, Franklin Templeton
- Craig Messinger, CEO, Virtu Financial
- Joe Nadreau, Head of Independent Brokerage & Platform Services, Wells Fargo Advisors
- Thomas Pluta, Global Head of Linear Rates Trading, JPMorgan Chase & Co.
- Robert Popowski, Head of Sales, Trading and Research, Truist Securities
- Douglas W. Preiser, Chief Operating Officer, KeyBanc Capital Markets, Inc.
- Jamie Price, President and Chief Executive Officer, Advisor Group, Inc.
- Samuel A. Ramirez Jr., President & CEO, Ramirez Asset Management, Senior Managing Director, Samuel A. Ramirez & Company, Inc.
- Brendan T. Reilly, Head of US Government Relations & Regulatory Policy, Barclays
- Paul Reilly, Chief Executive Officer, Raymond James Financial
- Jim Reynolds, Chairman & Chief Executive Officer, Loop Capital Markets LLC
- John F. W. Rogers, Executive Vice President, Goldman Sachs & Co.
- Jeffrey Rosen, Managing Director & COO, Americas Global Markets, Société Générale
- Claire Santaniello, Managing Director, Head of Operations, BNY Mellon / Pershing
- Andy Saperstein, Co-President of Morgan Stanley and Head of Morgan Stanley Wealth Management
- Peter W. Schneider, President, Primerica
- Deb Schoneman, President, Piper Sandler
- Nomita Chopra Singh, Managing Director, Credit Suisse and COO, CSH USA
- Mark Steffensen, Senior Executive Vice President & General Counsel, HSBC
- Joseph E. Sweeney, President, Advice & Wealth Management, Products and Service Delivery, Ameriprise Financial, Inc.
- John Taft, Vice Chairman, Baird
- Ryan Taylor, Managing Director, U.S. Head of Capital Markets Compliance, RBC Capital Markets, LLC
- James Wallin, Senior Vice President, AllianceBernstein
- Kashif Zafar, Head of the Global Markets Division for the Americas, Credit Agricole

Board Subcommittees

Six subcommittees of the Board of Directors oversee SIFMA's standing committee agendas and functions. These Board subcommittees review committee priorities with committee leadership, enhancing the Board's engagement with priority setting and committee operations.

- Asset Management Subcommittee
 - Lindsey Keljo | 202.962.7312 | lkeljo@sifma.org
- Capital Markets Subcommittee
 - Rob Toomey | 212.313.1124 | rtoomey@sifma.org
- Legal & Compliance Subcommittee

- Ira Hammerman | 202.962.7373 | ihammerman@sifma.org
- Operations & Technology Subcommittee
 - Tom Price | 212.313.1260 | tprice@sifma.org
- Private Client & Wealth Management Subcommittee
 - Kevin Carroll | 202.962.7382 | kcarroll@sifma.org
- Prudential & Capital Subcommittee
 - Carter McDowell | 202.962.7327 | cmcdowell@sifma.org