Projects span 24 states and Washington, D.C., generating approximately 618,942 megawatt hours of electricity, or the equivalent of avoiding more than 437,000 metric tons of CO2.

**C12.3**

(C12.3) Do you engage in activities that could either directly or indirectly influence public policy on climate-related issues through any of the following?
- Direct engagement with policy makers
- Trade associations
- Funding research organizations
- Other

**C12.3a**

(C12.3a) On what issues have you been engaging directly with policy makers?

<table>
<thead>
<tr>
<th>Focus of legislation</th>
<th>Corporate position</th>
<th>Details of engagement</th>
<th>Proposed legislative solution</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adaptation or resilience</td>
<td>Support</td>
<td>Monitoring policy discussions regarding grid resilience and fuel diversity and advocating for recognition that resilience of the bulk power system is a growing issue of policy focus due to the critical importance of the energy sector for national and economic security.</td>
<td>There is no single legislative solution addressing this issue. It is woven into a variety of legislative and regulatory proposals. Any legislation should include a definition of resilience that values robust transmission and reliable energy supply, including 24/7 generation resources. Support policies that preserve flexibility of local solutions to optimize utilities’ own resource portfolios.</td>
</tr>
<tr>
<td>Adaptation or resilience</td>
<td>Support</td>
<td>Advocating for legislative and policy approaches that streamline and reduce the complexity, cost and time involved in licensing, permitting and review processes for infrastructure projects.</td>
<td>No specific legislation yet proposed. Any legislative solution should create a more efficient and predictable permitting process for large infrastructure projects and a more transparent and accountable regulatory system that promotes economic development while maintaining necessary safety, environmental and public health protection. Improved cooperation among jurisdictions is needed in order to facilitate siting and construction of transmission infrastructure.</td>
</tr>
<tr>
<td>Clean energy generation</td>
<td>Support with major exceptions</td>
<td>Generally supporting state-level initiatives for electrification and DER expansion, including deployment of electric vehicles, charging infrastructure and national electric vehicle corridors, and enabling solar distributed energy resources. Specific advocacy efforts with exceptions in Pennsylvania to allow for expanded utility engagement in both areas. Supporting community solar proposals in Pennsylvania that do not impose significant administrative burdens on utilities. Supporting electrification of building sector provided customers maintain choice of technology.</td>
<td>Pennsylvania legislation should provide for utility ownership of electric vehicle infrastructure and utility management of community solar programs for low-income customers. Kentucky supports legislative efforts to prevent local governments from limiting customers heating options.</td>
</tr>
<tr>
<td>------------------------</td>
<td>-------------------------------</td>
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<td>----------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Clean energy generation</td>
<td>Support</td>
<td>Net metering - Advocating for compensation structures for private distributed energy resources that are fair to all customers.</td>
<td>Allow state regulatory commissions to determine the value of excess energy generated by future net-metering customers. Support efforts to reform net-metering laws to compensate excess generation fairly, minimize shifting private generation costs to other consumers and ensure that all customers are contributing fairly to the fixed cost of the energy grid.</td>
</tr>
<tr>
<td>Clean energy generation</td>
<td>Support</td>
<td>Production and investment tax credits for energy storage and energy storage technologies – Advocating for the same tax credits for energy storage as are currently available for solar and other technologies.</td>
<td>PPL supports extension of the existing renewable energy tax credits and supports expanding the credits to include energy storage technologies. PPL supports legislation to move towards technology neutral tax credits for the installation of new storage and non-emitting generation.</td>
</tr>
<tr>
<td>Cap and trade</td>
<td>Undecided</td>
<td>Monitoring climate legislation and policy discussions at federal and state level. There are various federal legislative proposals in different stages of development. In Pennsylvania, legislative discussions are centered around joining a regional cap-and-trade</td>
<td>To be the most effective in producing lasting carbon reductions, PPL believes that legislation should be economy-wide, market-based, and provide for regional flexibility. PPL supports a federal carbon rule that is based on “inside the fence”</td>
</tr>
</tbody>
</table>
The company will not take a position on any U.S. federal or state legislation until more details are available and company impacts can be evaluated.

Any federal legislation that establishes a zero-emissions electric sector by 2035 should, at a minimum, include appropriate cost containment measures and off-ramps to provide time for the development of new carbon-free generation and storage technologies.

### C12.3b

(C12.3b) Are you on the board of any trade associations or do you provide funding beyond membership?

Yes

### C12.3c

(C12.3c) Enter the details of those trade associations that are likely to take a position on climate change legislation.

<table>
<thead>
<tr>
<th>Trade association</th>
</tr>
</thead>
<tbody>
<tr>
<td>Edison Electric Institute (EEI)</td>
</tr>
</tbody>
</table>

Is your position on climate change consistent with theirs?

Consistent

Please explain the trade association’s position

With respect to climate, EEI’s policy priorities include:

- Increasing funding, from research through deployment, for a range of clean energy technologies;
- Revamping energy tax credits to advance newer technologies in a technology-neutral manner;
- Enabling the siting, permitting, and construction of new technologies and grid infrastructure; and
- Utilizing electric sector emission reductions to reduce emissions in other sectors.

EEI believes that to be effective and efficient, any climate change legislation must be consistent with current technology, be economy-wide in scope and allow for full flexibility in market-based mechanisms, while maintaining reliability and customer affordability.

https://www.eei.org/issuesandpolicy/Pages/CleanEnergy.aspx
How have you influenced, or are you attempting to influence their position?
The full EEI Board sets the association’s federal and state policy through a consensus process, and no position is taken if consensus is not reached. PPL’s President and CEO is on the EEI Board and is actively engaged in the development and refinement of EEI’s position on climate change. CEO Policy Committees and Task Forces, comprised of company CEOs, Presidents and COOs, oversee EEI public policy development and implementation. These policy committees are informed by Executive Advisory Committees (EACs), which provide direction and expertise to their respective CEO Policy Committees. PPL is represented on all key EACs, whose members generally are member company officers.

PPL’s VP-Public Affairs and Sustainability co-chairs EEI’s sustainability workgroup. PPL’s VP-Federal Government Relations is on the Federal Affairs Executive Advisory Committee.

Trade association
American Gas Association (AGA)

Is your position on climate change consistent with theirs?
Consistent

Please explain the trade association’s position
AGA is committed to reducing greenhouse gas emissions through smart innovation, new and modernized infrastructure, and advanced technologies that maintain reliable, resilient and affordable energy service choices for customers, AGA has adopted eight principles for policy action, key among them is that all sectors of the economy should contribute to reductions; the potential benefits of natural gas and natural gas infrastructure to effectively reduce emissions and improve energy efficiency should be recognized; the option of natural gas for consumers, should be preserved; and the government should increase its investments into the RD&D of advanced gas and mitigation technologies (including carbon capture utilization and sequestration) The full list of AGA policy principles, and ten commitments made by AGA and its member companies can be found at:


How have you influenced, or are you attempting to influence their position?
The AGA Board annually sets the association’s advocacy priorities and adjusts them as needed throughout the year. LG&E and KU’s Chief Operations Officer is a member of the AGA Board and provides regular input on policy positions. Recommendations for these advocacy priorities come from AGA Board Committees and Task Forces as well as committees of AGA members (e.g., Operations, Legislative Affairs, State Affairs, Legal, etc.), outlined in AGA’s Committee Scope book.
Energy Storage Association (ESA)

Is your position on climate change consistent with theirs?
Consistent

Please explain the trade association's position
ESA advocates and advances the energy storage industry to fulfill its vision of 100 GW of energy storage growth by 2030. ESA’s policy positions aim to increase revenues available to storage, ensure grid and market access, and enhance storage’s competitiveness by including it in planning processes.

https://energystorage.org/policies-issues/

How have you influenced, or are you attempting to influence their position?
The ESA Leadership Circle (LC) guides the association’s federal, wholesale market, and state, setting ESA’s agenda and developing positions in specific policy engagements. PPL’s Vice President Renewable Energy Solutions serves as an LC member. ESA takes positions even when there is not consensus, based on the predominance of agreement among LC members and consistency with ESA’s mission and vision; however, on particularly controversial topics, ESA may take a majority vote of the LC members to determine a position or choose to take no position.

Trade association
Kentucky Chamber

Is your position on climate change consistent with theirs?
Consistent

Please explain the trade association’s position
The chamber’s advocacy positions are generally focused on issues of general business climate and competitiveness.


How have you influenced, or are you attempting to influence their position?
LG&E and KU are represented on all relevant Public Policy Councils, and LG&E and KU’s President and CEO is a member of the KY Chamber’s Board of Directors. Before taking a position on a state policy, regulation or proposed legislation, the KY Chamber works through its staff to develop a position with assistance from its six Public Policy Councils and Small Business Committee. These Councils/Committees make policy and position recommendations to the KY Chamber’s Board, which the Board can then review, modify, adopt or ratify. The policies usually provide a clear direction on positions; however, a Board vote is sometimes needed if there is not clear direction or if there is a split or a lack of consensus. Generally, if KY Chamber members cannot reach consensus on an issue, the Chamber does not take a position.
**Trade association**  
Kentucky Coal Association (KCA)

**Is your position on climate change consistent with theirs?**  
Mixed

**Please explain the trade association’s position**  
The mission of the Kentucky Coal Association is to provide effective leadership for the coal industry and to enhance the ability of the Kentucky coal industry to compete in domestic and international coal markets.

http://www.kentuckycoal.org/our-mission/

**How have you influenced, or are you attempting to influence their position?**  
LG&E and KU are members of the KCA, though no employees are on its Board or Executive Committee. When KCA’s policy positions related to clean energy transition do not align with LG&E and KU’s, LG&E and KU notifies KCA and freely advocates against the position at issue.

**Trade association**  
Pennsylvania Chamber

**Is your position on climate change consistent with theirs?**  
Consistent

**Please explain the trade association’s position**  
The purpose of the PA Chamber is to create an environment in which businesses want to operate in a state that allows them to thrive and grow. As such, the chamber’s advocacy positions are generally focused on issues of competitiveness. With respect to environment and climate issues generally, the chamber believes that economic development and environmental protection are not mutually exclusive objectives and advocates for thoughtful, science-based development and flexible implementation of environmental law.

https://www.pachamber.org/advocacy/

**How have you influenced, or are you attempting to influence their position?**  
The Pennsylvania Chamber’s Policy Roundtable makes recommendations on changes to the Chamber’s policies, which the Board can review, modify, adopt or ratify. The polices are reviewed every three years. The Board may vote on a regulatory or legislative issue if there is a lack of clear policy direction or if a consensus position cannot be reached. PPL is represented on committees relevant to its business interests. PPL’s VP-Public Affairs and Sustainability serves on the Chamber Board. If PPL is not in agreement with the final position of the Pennsylvania Chamber, that difference would be provided to the full Board either in writing or verbally at a Chamber Board meeting.
Trade association
Energy Association of Pennsylvania (EAP)

Is your position on climate change consistent with theirs?
Consistent

Please explain the trade association’s position
The Energy Association of Pennsylvania (EAP) is a trade association that represents and promotes the interests of regulated electric and natural gas distribution companies operating in Pennsylvania. To date, the association has not adopted a position directly related to climate change policy. EAP communicates industry positions and input on matters such as renewable portfolio standards and energy efficiency with a focus on reliability, affordability and safety.

https://www.energypa.org/who-we-are/

How have you influenced, or are you attempting to influence their position?
Before taking a position on a state policy, regulation or proposed legislation, the EAP works through either its Regulatory Committee or its Legislative Committee to develop a consensus. General policy issues are discussed with the Board; however, as a general rule, EAP only agrees to develop a position on a policy, regulation or proposed legislation if there is a consensus among its members. PPL Electric’s President serves on the EAP Board.

Trade association
Electric Power Research Institute (EPRI)

Is your position on climate change consistent with theirs?
Consistent

Please explain the trade association’s position
EPRI provides thought leadership, industry expertise, and collaborative value to help the electricity sector identify issues, technology gaps, and broader needs that can be addressed through effective research and development programs. EPRI focuses on electricity generation, delivery, and use in collaboration with the electricity sector and its stakeholders. Of particular focus, EPRI’s Low-Carbon Resource Initiative is focusing on the need to accelerate development and demonstration of low- and zero-carbon energy technologies.

https://www.epri.com/about

This organization is not engaged in advocacy. PPL uses the research to inform policy makers and other stakeholders.

How have you influenced, or are you attempting to influence their position?
PPL is a founding sponsor of the LCRI, and our CEO is a member of the Electric Power Research Initiative Board and chair of the Low-Carbon Research Initiative Board.
Working Group, helping to identify the research priorities of the organization. Company executives have participated in several EPRI's research and ESG initiatives.

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**Trade association**
Smart Electric Power Alliance (SEPA)

**Is your position on climate change consistent with theirs?**
Consistent

**Please explain the trade association’s position**
SEPA envisions a carbon-free energy system by 2050 and works to assist electric power stakeholders address the most pressing issues they encounter as they pursue the transition to a clean energy future. It provides education, research, standards, and collaboration to help utilities and others across three pathways: electrification, grid integration, and regulatory and business innovation.

https://sepapower.org/about/

This organization is not engaged in advocacy. PPL uses the research to inform policy makers and other stakeholders.

**How have you influenced, or are you attempting to influence their position?**
As a member, PPL Electric is actively engaged on several fronts, especially with respect to grid integration. PPL Electric was named the 2019 SEPA Power Players Investor-Owned Utility of the Year, in recognition of the company’s comprehensive plan and strategy to prepare for the future by creating the next generation of advanced distribution management system functionalities through its Distributed Energy Resource Management System (DERMS).

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**Trade association**
National Association for Environmental, Health, Safety and Sustainability Management (NAEM)

**Is your position on climate change consistent with theirs?**
Consistent

**Please explain the trade association’s position**
NAEM’s mission is to empower corporate leaders to advance environmental stewardship, create safe and healthy workplaces, and promote global sustainability by providing benchmarking, networking and professional development opportunities.

https://www.naem.org/our-community/mission

This organization is not engaged in advocacy. PPL uses the research, benchmarking and other services to improve internal sustainability practices and inform policy makers and other stakeholders.
How have you influenced, or are you attempting to influence their position?

PPL Electric is an active member of NAEM.

Trade association
Solar Energy Industries Association (SEIA)

Is your position on climate change consistent with theirs?
Consistent

Please explain the trade association's position
SEIA is the national trade association for the solar and solar+storage industries and is working to create the framework for solar to achieve 20% of U.S. electricity generation by 2030. Its advocacy focuses on policies that promote reliable, low-cost solar power and market rules that establish fair competition.

https://www.seia.org/initiatives/solar-policy

How have you influenced, or are you attempting to influence their position?
Safari Energy is an active member of SEIA.

Trade association
New York Solar Industries Association (NYSEIA)

Is your position on climate change consistent with theirs?
Consistent

Please explain the trade association’s position
NYSEIA works to achieve significant, long-term, and sustainable growth of solar energy for New York State. Its efforts include educating stakeholders on the environmental economic development, and energy-supply benefits of solar energy use, and participating in public policy and regulatory proceedings affecting the solar industry.

https://49da7a77-7db8-45c2-8f29-58137f5c5afe.filesusr.com/ugd/a89dc9_8f56f65a2cf14c188f5553d2fbaeb36.pdf

How have you influenced, or are you attempting to influence their position?
Safari Energy is an active member of NYSEIA.

C12.3d

(C12.3d) Do you publicly disclose a list of all research organizations that you fund?
Yes

C12.3e

(C12.3e) Provide details of the other engagement activities that you undertake.